

**Reading:** Schrijver, Chapter 41

## Matroid Intersection Algorithm

**Claim:** (Edmonds, 1970) For matroids  $M_1, M_2$  on  $S$ ,

$$\max_{J \in \mathcal{I}_1 \cap \mathcal{I}_2} \{|J|\} = \min_{A \subseteq S} \{r_1(A) + r_2(\overline{A})\}.$$

### Strong exchange

**Idea:** Augmenting paths in graphs

**Claim:** Strong exchange property: For any  $B, B'$  bases,  $\forall x \in B \setminus B', \exists y \in B' \setminus B$  such that  $B - x + y$  and  $B' - y + x$  are bases.

**Def:** *Span* of  $A$  is  $\{e \in S \mid r(A + e) = r(A)\}$ .

**Claim:**

1. If  $A \subseteq B$ , then  $\text{span}(A) \subseteq \text{span}(B)$ .
2. If  $e \in \text{span}(A)$ , then  $\text{span}(A + e) = \text{span}(A)$ .

**Proof:** By submodularity of  $r$ :

$$r(X \cup Y) + r(X \cap Y) \leq r(X) + r(Y)$$

1. Suppose  $e \in \text{span}(A)$  and let  $X = A + e$ ,  $Y = B$ . Then,

$$r(A + e) + r(B) \geq$$

$$r((A + e) \cap B) + r(B + e) \geq r(A) + r(B + e)$$

and  $r(A) = r(A + e)$  so  $r(B) \geq r(B + e)$ . Equality by monotonicity, so  $e \in \text{span}(B)$ .

2.  $\text{span}(A) \subseteq \text{span}(A + e)$  follows from 1. Other direction:

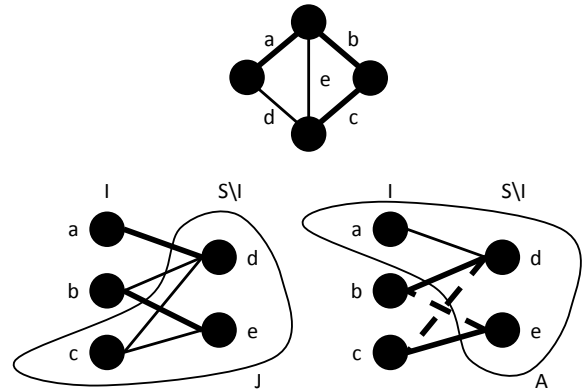
- Suppose  $e \in \text{span}(A)$  and  $f \in \text{span}(A + e)$ .
- Let  $x = A + e$  and  $Y = A + f$ .
- Submodularity:  $r(A + e) + r(A + f) \geq r(A + e + f) + r((A + e) \cap (A + f)) \geq r(A + e + f) + r(A)$ .
- $r(A) = r(A + e)$  and monotonicity imply  $r(A + f) = r(A + e + f)$ .
- $f \in \text{span}(A + e)$  so  $r(A + e + f) = r(A + e)$ .
- $e \in \text{span}(A)$  so  $r(A + e) = r(A)$
- thus  $r(A + f) = r(A)$  so  $f \in \text{span}(A)$ .

**Claim:** (corollary):  $\text{span}(\text{span}(A)) = \text{span}(A)$

**Proof:** (of strong basis exchange)

- suppose  $x \in B \setminus B'$
- $B'$  basis so  $B' + x$  contains unique\* circuit  $C$
- $C$  must contain  $x$  (subsets of  $B'$  indep) so  $x \in \text{span}(C - x)$  so  $x \in \text{span}((B \cup C) - x)$ .

- by claim,  $\text{span}((B \cup C) - x) = \text{span}(B \cup C) = S$  (since  $B$  basis)
- hence  $B \cup C - x$  contains a basis  $B''$
- $B - x$  and  $B''$  both indep and  $|B''| > |B - x|$  so  $\exists y \in B'' \setminus (B - x)$  such that  $(B - x) + y$  indep and a basis.
- and can pick  $y$  in  $C - x$ :  $B'' \setminus (B - x) \subseteq ((B \cup C) - x) \setminus (B - x) \subseteq C - x$
- $C$  unique circuit of  $B' + x$  and  $x, y \in C$  so  $(B' - y) + x$  basis (contains no circuit since  $C$  unique)



\*Why unique? Think graphic matroids...

**Claim:** If  $I$  indep and  $I + x$  dependent, then  $I + x$  contains a *unique* circuit.

**Proof:** Suppose two circuits  $C_1$  and  $C_2$ . Then  $C_1 \cup C_2 - x$  contains a circuit:

- Suppose  $C_1 \cup C_2 - x$  indep
- Take  $y \in C_1 \setminus C_2$
- Note  $C_1 - y$  indep since  $C_1$  circuit
- Extend to max indep set in  $C_1 \cup C_2$ , say  $Z$
- Note  $Z$  doesn't contain  $C_2$  (else  $C_2$  indep) and doesn't contain  $y$
- Hence  $|Z| < |C_1 \cup C_2 - x|$  contradicting  $Z$  max indep set in  $C_1 \cup C_2$ .

## Exchange graphs

**Def:** Exchange graph  $\mathcal{D}(I)$  of  $M$  w.r.t. indep set  $I$  is bipartite graph with

- vertices  $I, S \setminus I$

- edges  $(x, y)$  if  $I - x + y$  indep

**Claim:** Let  $I$  and  $J$  be two indep sets with  $|I| = |J|$ . Then there's a perfect matching between  $I \setminus J$  and  $J \setminus I$  in  $\mathcal{D}(I)$ .

**Proof:**

- Consider *truncated* matroid  $M' = (S, \{I' \in \mathcal{I} : |I'| \leq |I|\})$ .
- Then  $I$  and  $J$  are bases in  $M'$ .
- Take  $y \in J \setminus I$  and  $x \in I \setminus J$  s.t.  $I - x + y$  and  $J - y + x$  bases in  $M'$  ( $x, y$  exist by strong basis exchange).
- Hence indep in  $M$  so  $(x, y)$  edge in  $\mathcal{D}(I)$ , add to matching
- replace  $I, J$  with  $I, J - y + x$  and induct (as  $I \setminus (J - y + x)$  has one less elt than  $I \setminus J$ )

[[Converse not true (why?), but...]]

**Claim:** Let  $J$  be s.t.  $|J| = |I|$  and  $\mathcal{D}(I)$  has a *unique* perfect matching between  $I \setminus J$  and  $J \setminus I$ . Then  $J \in \mathcal{I}$ .

**Proof:**

- Let  $N$  be unique matching
- Orient edges in  $N$  from  $S \setminus I$  to  $I$ , rest from  $I$  to  $S \setminus I$
- By uniqueness, no directed cycles
- Number vertices in topological sort of DAG  $\mathcal{D}(I)$  s.t.  $N = \{(y_1, x_1), \dots, (y_t, x_t)\}$  and  $(x_i, y_j)$  never an edge for  $i > j$
- Suppose  $J$  has a circuit  $C$  (for contradiction)
- Take largest  $i$  s.t.  $y_i \in C$  (must exist one elt of  $C$  in  $J \setminus I$  since  $C \subseteq J$  and  $I$  indep)
- By choice of largest  $i$ , we have  $(x_i, y_j)$  not an arc for  $y_j \in C - y_i$
- So  $y_j \in \sqrt{\neg}(I - x_i)$
- For all  $z \in C - y_i$  either  $z \in I \cap J$  or  $= y_j \in J - I$  for some  $j$ , so  $C - y_i \subseteq \text{span}(I - x_i)$
- But  $C$  a circuit, so  $y_i \in \text{span}(C - y_i) \subseteq \text{span}(\text{span}(I - x_i)) = \text{span}(I - x_i)$  contradicting that  $I - x_i + y_i \in \mathcal{I}$

## Intersection exchange graph

*[[Overlay two copies of previous graph, direct  $M_1$  edges left-to-right and  $M_2$  edges right-to-left.]]*

**Def:** For  $I \in \mathcal{I}_1 \cap \mathcal{I}_2$ , the *exchange graph*  $\mathcal{D}_{M_1, M_2}(I) = (I, S \setminus I, E)$  with  $(y, x)$  an arc if  $I - y + x \in \mathcal{I}_1$  and  $(x, y)$  an arc if  $I - y + x \in \mathcal{I}_2$ .

Let

- $X_1 = \{x \notin I \mid I + x \in \mathcal{I}_1\}$
- $X_2 = \{x \notin I \mid I + x \in \mathcal{I}_2\}$

## Algorithm:

1. Find *augmenting path*  $P$  from  $X_1$  to  $X_2$  with no shortcuts. *[[If  $X_1 \cap X_2 \neq \emptyset$ , use singleton path.]]*
2. Replace  $I$  with  $I \Delta P$
3. If no path, set  $U = \{z \in S \mid z \text{ can reach some vertex in } X_2\}$

**Claim:** Correctness:

1. When we stop, sets  $I$  and  $U$  give equality in min/max formula.
2. At each stage,  $I \Delta P \in \mathcal{I}_1 \cap \mathcal{I}_2$ .

**Proof:** (of 1): We show  $r_1(U) = |I \cap U|$  (by similar proof  $r_2(S \setminus U) = |I \setminus U|$ ).

- Note  $X_2 \subseteq U$ ,  $X_1 \cap U = \emptyset$ , and  $U$  has no incoming arcs.
- If  $r_1(U) \neq |I \cap U|$  then  $r_1(U) > |I \cap U|$  (rank function monotone).
- Then  $\exists x \in U \setminus (I \cap U)$  s.t.  $(I \cap U) + x \in \mathcal{I}_1$ .
- Note  $I + x \notin \mathcal{I}_1$  since  $X_1 \cap U = \emptyset$ .
- Thus unique circuit in  $I + x$  so  $\exists y \in I \setminus U$  s.t.  $I - y + x \in \mathcal{I}_1$  (killed only circuit in  $I + x$ ).
- Then  $(y, x)$  arc in  $\mathcal{D}_{M_1, M_2}(I)$  contradicting  $U$  has no incoming arcs.

**Proof:** (of 2): We show indep in  $M_1$  (other similar).

- Let  $P = x_0, y_1, x_1, \dots, y_t, x_t$  be shortest path.
- Add new elt  $t$  to  $I$  indep of everything (in new matroids).

- Let  $J = \{x_1, \dots, x_t\} \cup (I \setminus \{y_1, \dots, y_t\})$ .
- Then  $J \cup \{x_0\} \subseteq S \cup \{t\}$ ,  $|J \cup \{x_0\}| = |I \cup \{t\}|$ , and arcs from  $\{y_1, \dots, y_t\}$  to  $\{x_1, \dots, x_t\}$  plus arc from  $t$  to  $x_0$  form unique perfect matching from  $I \cup \{t\} \setminus J \cup \{x_0\}$  to  $J \cup \{x_0\} \setminus I \cup \{t\}$  (since  $P$  has no shortcuts so  $y_1$  has no other match, draw picture).
- Therefore  $J \cup \{x_0\}$  indep in extended matroid so also in  $M_1$ .